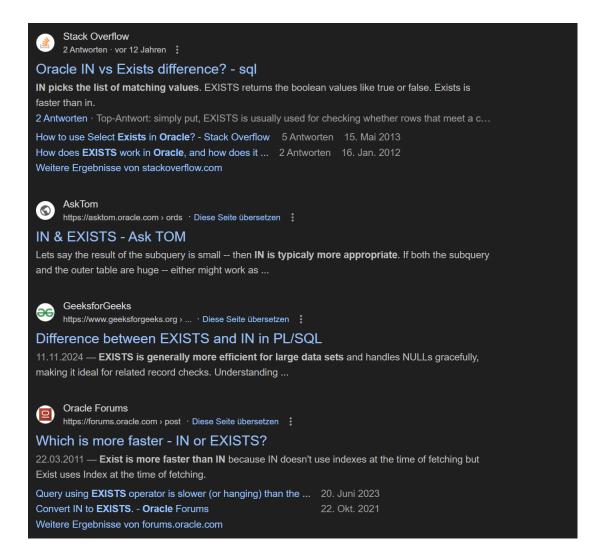
# IN? EXISTS? JOIN?

Don't Ask the Internet. Ask the Optimizer.

Andrej Pashchenko



#### **Problems with Internet Search**



#### **Time Reference**

- What was true for Oracle 8i should not still be true for Oracle 23ai
- Even in very trustworthy sources like Ask TOM you can see posts that have been active for decades and whose initial answer changes over time to the opposite.

#### **Vendor Reference**

- The different implementations and optimizations by database vendors can be very important
- Even when asked explicitly, information about wrong vendors can sometimes appear quite high up in the results list.

#### **Trustworthiness**

 In-depth analysis with explanations or superficial "clickbait" posts like "always do this, never do that..."

Thorough and extensive research is necessary...

But that's where Al comes to the rescue?!



#### **Problems with AI?**

How do I choose between IN or EXISTS subquery in Oracle SQL? Or maybe I should use a join? Is there any difference in performance?

- **1.** IN **vs.** EXISTS
- Use IN when:
- The outer query's table is smaller and the subquery returns a small distinct set.
- You want to **compare against a list of literal values** or a small subquery.
- You don't expect NULLs in the subquery result (since NULL in an IN list can return no rows due to 3-valued logic).
- ✓ Use EXISTS when:
- The subquery table is large and/or the outer table is large.
- You only need to **check for existence**, not values (e.g., "Is there a match?").
- You're using correlated subqueries (i.e., subquery refers to columns in the outer query).

#### A Slow Query Using EXISTS....

```
SQL> SELECT /*+ ... */ c.cust id
        c.cust last name, c.cust first name
FROM
        sh.customers c
WHERE c.cust city = 'Koeln'
        EXISTS (SELECT 1
AND
                          sh.sales s
                 FROM
                 WHERE
                         c.cust id = s.cust id );
       | Operation
                             Name
                                          | Starts | E-Rows | A-Rows |
                                                                           A-Time
        SELECT STATEMENT
                                                                       100:00:18.36
          FILTER
          TABLE ACCESS FULL TABLE ACCESS FULL
                               CUSTOMERS
                                                                       100:00:00.01
                                                         131
```

- Slow subquery using EXISTS
- FILTER works as a loop evaluating a subquery for each unique value of the correlated column
- Column Starts shows
   532 times for the full
   scan of the table
   SALES

A hint was used to force this execution plan

#### **Rewrite As a Join**

```
SQL> SELECT /*+ ... */ DISTINCT c.cust last name, c.cust first name
        sh.customers c JOIN sh.sales s ON (c.cust id = s.cust id)
FROM
WHERE
        c.cust city = 'Koeln';
                                          Starts | E-Rows | A-Rows
                                                                        A-Time
     | Operation
                              Name
                                                                     00:00:00.04
        SELECT STATEMENT
                                                     77946
                                                                     00:00:00.04
         SORT UNIQUE
                                                     77946
          HASH JOIN
                                                               4208
                                                                     00:00:00.04
           TABLE ACCESS FULL
                              CUSTOMERS
                                                       595
                                                                     00:00:00.01
           TABLE ACCESS FULL | SALES
                                                       918K
                                                                918K | 00:00:00.01
```

<pre>SQL&gt; SELECT /*+ */ c.cust_last_name, c.cust_first_name FROM sh.customers c JOIN (SELECT DISTINCT cust_id FROM sh.sales) s</pre>							
Id   Operation	Name	Starts	E-Rows	A-Rows	A-Time		
0   SELECT STATEMENT  * 1   HASH JOIN  * 2   TABLE ACCESS FULL   3   VIEW   4   SORT UNIQUE   5   TABLE ACCESS FULL	CUSTOMERS	1 1 1 1 1 1	595 595 7014 7014 918K	44 44 532 7059 7059 918K			

- Rewriting as a JOIN
- Do not forget DISTINCT
- Full scan of the SALES table just once and a fast hash join
- DISTINCT in the main query is wrong unless it is needed for some other reasons
- Join to a subquery with DISTINCT!

The same hint was used to force this execution plan as in the previous slide

### **A Query Using IN**

```
SQL> SELECT /*+ ... */ c.cust id
        c.cust last name, c.cust first name
FROM
        sh.customers c
      c.cust city = 'Koeln'
WHERE
        c.cust id IN (SELECT
AND
                               s.cust id
                       FROM
                               sh.sales s)
        Operation
                                           | Starts | E-Rows | A-Rows |
                                                                           A-Time
  Ιd
                                Name
        SELECT STATEMENT
                                                                        00:00:00.23
                                                         595
                                                                       00:00:00.23
         HASH JOIN
          TABLE ACCESS FULL
                                CUSTOMERS
                                                         595
                                                                  532
                                                                       100:00:00.01
          VIEW
                                VW NSO 1
                                                                 7059
                                                                       00:00:00.23
                                                        7014
           SORT UNIQUE
                                                        7014
                                                                 7059
                                                                       00:00:00.23
            TABLE ACCESS FULL
                                                         918K
                                SALES
                                                                  918K | 00:00:00.01
```

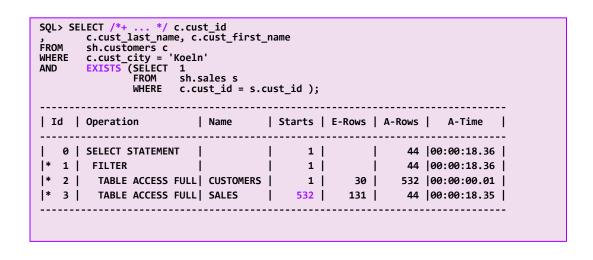
- A subquery using IN is fast as well
- It has the same execution plan as a query with a join

The same hint was used to force this execution plan as in the previous slide

#### Three approaches – two different plans

HERE ND	<pre>c.cust_city = 'Koeln' c.cust_id IN (SELECT</pre>	s.cust_id sh.sales s)					_
Id	Operation	Name	Starts	E-Rows	A-Rows	A-Time	l
0	SELECT STATEMENT	 	1	 	44	00:00:00.23	- 
* 1	HASH JOIN		1	595	44	00:00:00.23	
* 2	TABLE ACCESS FULL	CUSTOMERS	1	595	532	00:00:00.01	
3	VIEW	VW_NSO_1	1	7014	7059	00:00:00.23	I
4	SORT UNIQUE	i – –	1	7014	7059	00:00:00.23	Ĺ
j 5 j	TABLE ACCESS FULL	SALES	1	918K	918K	00:00:00.01	Ĺ

ON (c.cust_id = s.cust_id) WHERE c.cust_city = 'Koeln';						
Id   Operation	Name	Starts	E-Rows	A-Rows	A-Time	- 
0   SELECT STATEMENT		1		44	00:00:00.28	- 
* 1   HASH JOIN		1	595	44	00:00:00.28	
* 2   TABLE ACCESS FULL	CUSTOMERS	1	595	532	00:00:00.01	
3   VIEW	İ	1	7014	7059	00:00:00.28	ĺ
4   SORT UNIQUE	İ	1	7014	7059	00:00:00.28	İ
5 TABLE ACCESS FULL	SALES	1	918K	918K	00:00:00.02	İ







optimizer\_features\_enable('8.1.7')

It is an ancient optimizer behaviour

#### How does it look these days?

```
SQL> SELECT c.cust id
        c.cust last name, c.cust first name
FROM
        sh.customers c
WHERE c.cust city = 'Koeln'
        EXISTS (SELECT 1
AND
                FROM
                        sh.sales s
                WHERE c.cust id = s.cust id );
      | Operation
                           Name
                                       | Starts | E-Rows | A-Rows |
                                                                      A-Time
                                                               44 |00:00:00.04
        SELECT STATEMENT
                                                     595
                                                                  100:00:00.04
         HASH JOIN SEMI
          TABLE ACCESS FULL | CUSTOMERS
                                                     595
                                                               532 |00:00:00.01
          TABLE ACCESS FULL | SALES
                                                     918K
                                                              918K | 00:00:00.01
```

- HASH JOIN SEMI instead of a FILTER operation
- A query transformation Subquery Unnesting makes it possible

## **Subquery Unnesting – Key Facts**

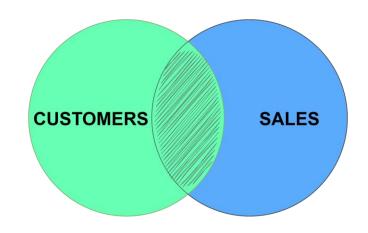
Subquery Unnesting is a query transformation.
Rewrites a query merging a subquery into the main query, either directly or using an inline view
Enables the optimizer to consider additional access paths, join methods and join orders, allows for parallelization
The transformation is cost based
Subject to validity checks – to retain the statement semantics
Can be controlled by the hints UNNEST, NO_UNNEST, but not to override the validity checks

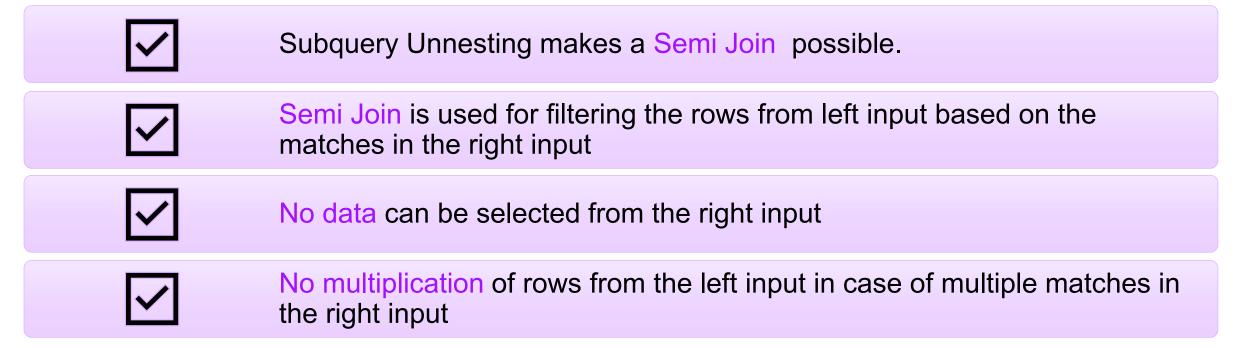
#### A Query Using IN - With Subquery Unnesting

```
SQL> SELECT c.cust_id, c.cust_last_name, c.cust first name
FROM
        sh.customers c
WHERE c.cust city = 'Koeln'
        c.cust id IN (SELECT s.cust id
AND
                      FROM
                              sh.sales s)
  Id | Operation
                           Name
                                       | Starts | E-Rows | A-Rows | A-Time
        SELECT STATEMENT
                                                               44 | 00:00:00.04
        HASH JOIN SEMI
                                                              44 | 00:00:00.04
                                                     595 Í
         TABLE ACCESS FULL | CUSTOMERS
                                                              532 | 00:00:00.01
          TABLE ACCESS FULL | SALES
                                                     918K
                                                              918K | 00:00:00.01
From CBO-trace
Final query after transformations:
SELECT "C". "CUST ID" "CUST ID", "C". "CUST LAST NAME" "CUST LAST NAME",
"C"."CUST FIRST NAME" "CUST FIRST NAME"
FROM "SH". "SALES" "S", "SH". "CUSTOMERS" "C"
WHERE "C"."CUST CITY"='Koeln' AND "C"."CUST ID"="S"."CUST ID"
```

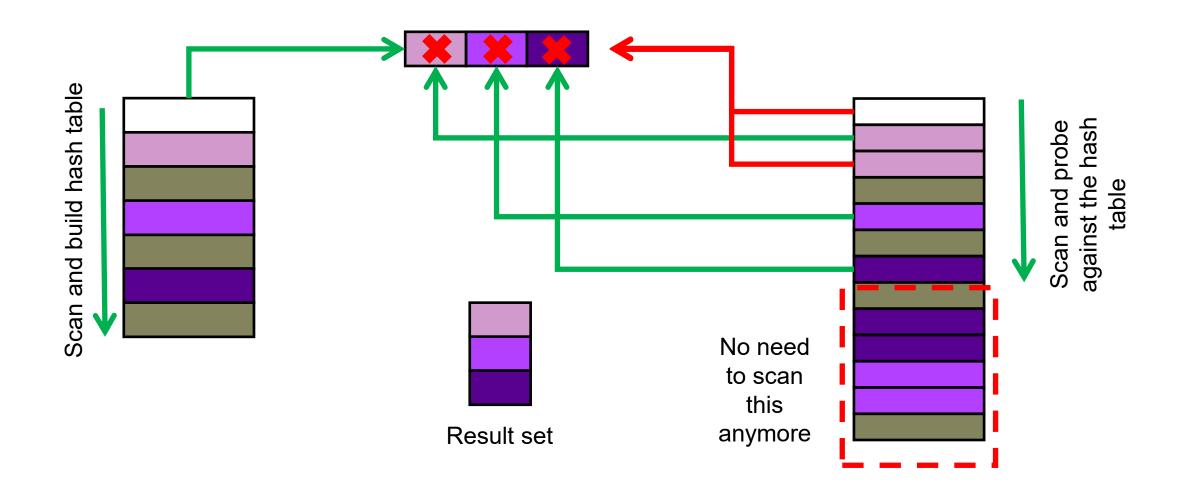
 If subquery unnesting could be applied, the query using IN has the same execution plan

#### **Semi Join - Key Facts**





#### **Hash Join Semi**



#### **Hash Join Semi Performance Boost**

```
SELECT c.cust last name, c.cust first name, c.cust id
       sh.customers c
FROM
WHERE c.cust city = 'Koeln'
AND c.cust last name LIKE 'Nappi%'
AND
    c.cust id IN (SELECT s.cust id
                            sh.sales s );
                    FROM
CUST LAST NAME
                                      CUST_FIRST_NAME
                                                     CUST ID
Nappier
                                      Beryl
                                                               2397
 Id | Operation | Name
                                    | Starts | E-Rows | A-Rows | A-Time
       SELECT STATEMENT
                                                             100:00:00.01
                                                           1 |00:00:00.01
        HASH JOIN SEMI
        TABLE ACCESS FULL | CUSTOMERS
                                                             100:00:00.01
         TABLE ACCESS FULL SALES
                                                918K | 13156 | 00:00:00.01
```

 Just ~13K out of ~900K rows processed

## Hash Join Semi Performance Boost (2)

```
SQL> CREATE TABLE sales ordered AS
SELECT * FROM sh.sales
ORDER BY CASE cust_id WHEN 2397 THEN 0 ELSE 1 END
Table created.
SQL> SELECT c.cust id
        c.cust last name, c.cust first name
FROM
        sh.customers c
WHERE c.cust city = 'Koeln'
AND
       c.cust last name LIKE 'Nappi%'
    c.cust id IN (SELECT s.cust_id
AND
                             sh.sales ordered s)
                      FROM
     | Operation
  Ιd
                           Name
                                            Starts | E-Rows | A-Rows |
                                                                         A-Time
                                                                     00:00:00.01
        SELECT STATEMENT
        HASH JOIN SEMI
                                                                      100:00:00.01
                                                                      00:00:00.01
         TABLE ACCESS FULL | CUSTOMERS
          TABLE ACCESS FULL SALES ORDERED
                                                         918K l
                                                                      00:00:00.01
```

 With favorable physical order the query stops almost immediately

#### **Should a Join Be Considered?**

```
SQL> SELECT /*+ ... */ DISTINCT c.cust id, c.cust last name, c.cust first name
        sh.customers c JOIN sh.sales s ON (c.cust id = s.cust id)
FROM
WHERE
        c.cust city = 'Koeln' AND c.cust last name LIKE 'Nappi%';
| Id | Operation
                          Name
                                      | Starts | E-Rows | A-Rows | A-Time
       SELECT STATEMENT
                                                              1 |00:00:00.04
                                                             1 00:00:00.04
       HASH UNIQUE
         HASH JOIN
                                                    53
                                                             86 | 00:00:00.04
         TABLE ACCESS FULL | CUSTOMERS
                                                     1
                                                                100:00:00.01
                                                            918K | 00:00:00.01
          TABLE ACCESS FULL | SALES
                                                   918K
```

SQL> SELECT /\*+ ... \*/ c.cust\_id, c.cust\_last\_name, c.cust\_first\_name sh.customers c JOIN (SELECT DISTINCT cust id FROM sh.sales) s FROM ON (c.cust id = s.cust id) c.cust city = 'Koeln' AND c.cust last name LIKE 'Nappi%'; WHERE Operation Name | Starts | E-Rows | A-Rows | A-Time SELECT STATEMENT 1 |00:00:00.04 VM NWVW 1 100:00:00.04 VIEW HASH UNIQUE 00:00:00.04 HASH JOĬN 100:00:00.04 TABLE ACCESS FULL CUSTOMERS 100:00:00.01 TABLE ACCESS FULL SALES 918K | 00:00:00.01

- Both execution plans are less efficient than a semi join
- But to force them, optimizer\_features\_enable was set to values less than 12.1.0.1

#### **Partial Join Evaluation**

```
SQL> SELECT DISTINCT c.cust_id, c.cust_last_name, c.cust_first_name
        sh.customers c JOIN sh.sales s ON (c.cust_id = s.cust_id)
FROM
        c.cust city = 'Koeln' AND c.cust last name LIKE 'Nappi%';
WHERE
     | Operation
                          Name
                                                                   A-Time
                                      | Starts | E-Rows | A-Rows |
       SELECT STATEMENT
                                                                00:00:00.01
        HASH UNIQUE
                                                                00:00:00.01
         HASH JOIN SEMI
                                                                00:00:00.01
          TABLE ACCESS FULL
                            CUSTOMERS
                                                                00:00:00.01
          TABLE ACCESS FULL
                            SALES
                                                                100:00:00.01
```

```
SQL> SELECT c.cust id, c.cust last name, c.cust first name
        sh.customers c JOIN (SELECT DISTINCT cust id FROM sh.sales) s
FROM
                        ON (c.cust id = s.cust id)
        c.cust city = 'Koeln' AND c.cust last name LIKE 'Nappi%';
WHERE
       Operation
                            Name
                                        | Starts | E-Rows | A-Rows |
                                                                      A-Time
       SELECT STATEMENT
                                                                  100:00:00.01
                              VM NWVW 1
        VIEW
                                                                  100:00:00.01
         HASH UNIQUE
                                                                  100:00:00.01
          HASH JOIN SEMI
                                                                  100:00:00.01
           TABLE ACCESS FULL
                              CUSTOMERS
                                                       1
                                                                  00:00:00.01
           TABLE ACCESS FULL
                                                     918K
                                                                  00:00:00.01
                              SALES
```

- Starting with 12.1.0.1 partial join evaluation has been introduced, an optimization to avoid generating duplicate rows that would otherwise be removed by a distinct operator later in the plan
- A semi join is executed instead of a plain join
- Hints: (NO\_)PARTIAL\_JOIN
- Parameter: \_optimizer\_partial\_join\_eval

#### **NULL Handling With IN?**

# Table A Table B D 1 1

- 3 (NULL)
- 2
  - (NULL)

- Select ID from Table A based on the presence in Table B
- IN is a shortcut for =ANY() or =SOME()
- Since only rows with the comparison result TRUE will qualify, it doesn't matter why other rows don't qualify (comparison evaluates to FALSE or UNKNOWN)
- Despite a three-valued logic using IN works as expected
- All three alternatives (IN, EXISTS, JOIN) behave the same

#### **NULL Handling With NOT IN!**

Table A	Table B
ID	ID
1	1
2	2
3	2
(NULL)	(NULL)

- Select ID from Table A based on the absence in Table B
- NOT IN is a shortcut for <>ALL()
- If NULL's are present in the NOT IN-subquery, the query returns no rows

#### The Anti Join - NOT IN and NOT EXISTS

```
SQL> SELECT DISTINCT c.cust_income_level
FROM
        customers c
WHERE c.cust city = 'Koeln'
       NOT EXISTS (SELECT /*+ no_unnest */ 1
AND
                           customers c2
                    FROM
                    WHERE c2.cust city = 'Hamburg'
                           c2.cust income level=c.cust income level)
                    AND
CUST INCOME LEVEL
K: 250,000 - 299,999
     | Operation
                            l Name
                                        | Starts | E-Rows | A-Rows |
                                                                       A-Time
        SELECT STATEMENT
                                                                   00:00:00.02
                                                       12 l
                                                                    00:00:00.02
        HASH UNIQUE
                                                                   100:00:00.02
          FILTER
                                                      474
          TABLE ACCESS FULL | CUSTOMERS
                                                                    00:00:00.01
          TABLE ACCESS FULL | CUSTOMERS
                                                                   00:00:00.02
   2 - filter( NOT EXISTS (SELECT /*+ NO UNNEST */ 0 FROM "CUSTOMERS" "C2"
                     WHERE "C2". "CUST CITY"= 'Hamburg'
                     AND "C2"."CUST INCOME LEVEL"=:B1))
   3 - filter("C"."CUST CITY"='Koeln')
   4 - filter(("C2"."CUST_CITY"='Hamburg' AND "C2"."CUST_INCOME_LEVEL"=:B1))
```

- Without subquery unnesting the execution plan is based on FILTER operation
- The subquery is evaluated once per distinct value of the connecting column

#### The Anti Join - NOT IN and NOT EXISTS

```
DISTINCT c.cust income level
SELECT
FROM
         customers c
         c.cust city = 'Koeln'
WHERE
         c.cust income level NOT IN (SELECT /*+ no unnest */ c2.cust income level
AND
                                                customers c2
                                         FROM
                                        WHERE c2.cust city = 'Hamburg')
      Operation
                                Name
                                             | Starts | E-Rows | A-Rows |
                                                                                A-Time
         SELECT STATEMENT
                                                                             100:00:00.01
                                                              12 l
                                                                             00:00:00.01
          HASH UNIQUE
                                                                             00:00:00.01
           FILTER
            TABLE ACCESS FULL | CUSTOMERS
                                                             474
                                                                             00:00:00.01
            TABLE ACCESS FULL | CUSTOMERS
                                                                            00:00:00.01
   2 - filter( NOT EXISTS (SELECT /*+ NO_UNNEST */ 0 FROM "CUSTOMERS" "C2" WHERE "C2"."CUST_CITY"='Hamburg' AND
   LNNVL("C2"."CUST_INCOME_LEVEL"<>:B1)))
3 - filter("C"."CUST_CITY"='Koeln')
4 - filter(("C2"."CUST_CITY"='Hamburg' AND
                LNNVL("C2"."CUST INCOME LEVEL"<>:B1)))
-- This query will be semantically the same
SELECT DISTINCT c.cust income level FROM
                                                   customers c
         c.cust city = \overline{K}oeln'
WHERE
         NOT EXISTS (SELECT /*+ no_unnest */ 1
AND
                      FROM
                              customers c2
                      WHERE c2.cust city = 'Hamburg'
                      AND
                               LNNVL(c2.cust income level<>c.cust income level));
```

- Oracle rewrites NOT IN as NOT EXISTS but because of LNNVL function it behaves like NOT IN
- LNNVL returns TRUE if the tested condition is FALSE or UKNOWN

#### **Performance Of The Anti-Join**

```
SQL> SELECT --+ optimizer features enable('10.2.0.4')
           DISTINCT c.cust income level
        customers c
FROM
WHERE c.cust city = 'Koeln'
        c.cust income level NOT IN
AND
              (SELECT c2.cust_income_level
                     customers c2
              FROM
              WHERE c2.cust city = 'Hamburg')
      | Operation
                            l Name
        SELECT STATEMENT
         HASH UNIQUE
          FILTER
           TABLE ACCESS FULL | CUSTOMERS
           TABLE ACCESS FULL | CUSTOMERS
```

```
SQL> SELECT --+ optimizer features enable('10.2.0.4')
           DISTINCT c.cust income level
        customers c
FROM
WHERE
       c.cust city = 'Koeln'
       c.cust income level IS NOT NULL
AND
       c.cust income level NOT IN
              (SELECT c2.cust income level
                     customers c2
               FROM
               WHERE c2.cust city = 'Hamburg'
                      c2.cust income level IS NOT NULL);
 Ιd
     | Operation
                                Name
        SELECT STATEMENT
        HASH UNIQUE
          HASH JOIN RIGHT ANTI
           TABLE ACCESS FULL
                                CUSTOMERS
           TABLE ACCESS FULL
                                CUSTOMERS
```

- Before 11g if NULL's were expected (no constraints defined), no subquery unnesting took place
- Workaround was to add additional NOT NULL conditions in the WHERE clause

#### **Null-Aware Anti-Joins**

```
SQL> SELECT
           DISTINCT c.cust income level
FROM
        customers c
WHERE c.cust city = 'Koeln'
        c.cust income level NOT IN
AND
              (SELECT c2.cust_income level
                     customers c2
              WHERE c2.cust_city = 'Hamburg')
      | Operation
                                  l Name
        SELECT STATEMENT
         HASH UNIQUE
          HASH JOIN RIGHT ANTI NA
           TABLE ACCESS FULL
                                   CUSTOMERS
           TABLE ACCESS FULL
                                   CUSTOMERS
```

```
SQL> SELECT DISTINCT c.cust income level
FROM
        customers c
WHERE
       c.cust city = 'Koeln'
       c.cust income level NOT IN
AND
              (SELECT c2.cust income level
                     customers c2
               FROM
               WHERE c2.cust city = 'Hamburg'
                      c2.cust income level IS NOT NULL);
     | Operation
                                  l Name
       SELECT STATEMENT
        HASH UNIQUE
          HASH JOIN RIGHT ANTI SNA
          TABLE ACCESS FULL
                                    CUSTOMERS
           TABLE ACCESS FULL
```

- Starting with 11g Null-Aware Anti-Joins have been introduced. Subquery unnesting can take place even with nullable columns
- Warning: it doesn't change the behaviour of NOT IN with respect to the NULL's



#### SQL is 4GL — Let the Optimizer Drive



- SQL is **declarative**: you describe *what* you want, not *how* to do it
- Thinking "IN vs EXISTS vs JOIN" affects performance = procedural mindset
- Write the SQL that best matches your business logic
- Let the optimizer transform them into efficient plans
- Learn to read execution plans that's the real skill





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# Please fill in your evaluations